

## Development of a Mechanistic-Empirical Based Overlay Design Method for Reflective Cracking

Jorge B. Sousa  
Chairman  
Consulpav International  
724 Laurel Drive, Walnut Creek, CA 94596  
Tel: +1-925-934-3643 Fax: +1-925-934-4910  
Email: [jombsousa@aol.com](mailto:jombsousa@aol.com)

Jorge C. Pais  
Assistant Professor  
University of Minho  
4800-058 Guimarães, Portugal  
Tel: +351 253 510 200 Fax: +351 253-510-217,  
Email: [jpais@civil.uminho.pt](mailto:jpais@civil.uminho.pt)

Rachid Saim  
Laboratory Director  
Consulpav  
Taguspark, Edifício Tecnologia I, n.26, 2780 Oeiras, Portugal  
Tel: +351-21 421 5021 Fax: +351-21 421 2296  
Email: [rachid.consulpav@taguspark.pt](mailto:rachid.consulpav@taguspark.pt)

George B. Way  
Chief Pavement Design Engineer  
Arizona Department of Transportation  
Materials Group, MD 068, 1221 N. 21st Ave., Phoenix, Arizona 85009, USA  
Tel: +1-602 712-8085 Fax: +1-602 712-8138  
Email: [gway@dot.state.az.us](mailto:gway@dot.state.az.us)

Richard N. Stubstad  
Managing Director  
Consulpav International  
485 Ventura Avenue - Suite E4, Oak View, CA 93022, USA  
Tel: +1-805 640-1111 Fax (805) 649-2133  
Email: [stubstad@ojai.net](mailto:stubstad@ojai.net)

### ABSTRACT

This paper describes a new and innovative mechanistically based pavement overlay design method that considers the most predominant type of overlay distress observed in the field: Reflective cracking above old cracks in the underlying pavement surface. Both dense-graded hot mix asphalt and gap-graded asphalt rubber (wet process) mixes were studied, in the laboratory and in the field, to derive the necessary mechanistic relationships and statistically based equations. The models proposed are based on a finite element model that closely approximates actual field phenomena. Many field test sections, mainly in Arizona, were studied during the course of the research.

Other HMA mixes used for overlays may also be calibrated and used through the proposed method. However, the relevant mix properties of any additional materials or environmental zones must first be determined. The two mix types studied are mainly used in the desert southwest region of Arizona and California. The overlay design program is available from the Rubber Pavements Association or Arizona Department of Transportation in the form of an Excel spreadsheet with an easy-to-use visual basic computer program (macro).

### INTRODUCTION

The Rubber Pavements Association (RPA) contracted with Consulpav in 1999 to develop a mechanistic overlay design method for reflective cracking. Reflective cracking is cracking that occurs in a hot mix asphalt (HMA) overlay after it has been applied to an existing cracked paved surface. The existing pavement may be either HMA or Portland Cement Concrete (PCC). RPA's interest in sponsoring this research effort was based upon

the long-standing observation that asphalt rubber (AR) hot mixes appear to reduce the occurrence of reflective cracking. Also, there appeared to be a need to develop a mechanistic reflective cracking model and overlay design method to compliment the new 2002 Pavement Design Guide, which will focus on mechanistic methods of pavement design.

Consulpav performed its research in cooperation and coordination with the University of Minho in Portugal and the Arizona Department of Transportation (ADOT). The University of Minho became involved because the Portuguese Road Administration recently sponsored the construction of a tire grinding facility near Lisbon and is now beginning to rehabilitate state route pavements using AR-HMA products. ADOT's interest stems from its extensive use of AR overlays on hundreds of state projects in order to reduce reflective cracking (1,2,3,4). Both Portugal and Arizona perceived a need to develop a mechanistic approach to the design of AR-HMA and conventional HMA overlays, which could be of help to them in their future use of the mechanistically based 2002 AASHTO Pavement Design Guide.

The research project first involved the development of a model based on the Finite Element Method (FEM). The FEM approach was selected since it appeared to be the most sensible way to address the unusual stress and strain contours generated by a heavy wheel load moving over or near a crack. To calibrate the FEM-modeled crack movements, actual field measurements with a Crack Activity Meter (CAM) and a Falling Weight Deflectometer (FWD) were both conducted, in Portugal, Arizona and California. The majority of the field testing was conducted on cracked highway pavements in Arizona. Results of this testing helped to calibrate the FEM model and showed that the CAM was well correlated to FWD-induced crack movements and deflections.

The next phase of the research involved laboratory testing to simulate observed field crack movements and measure stresses and strains in test specimens to simulate actual field conditions. Two typical mixes using a good quality Portuguese granite aggregate similar to Watsonville, California's granite aggregate were prepared and tested in Portugal. One conventional HMA mix consisted of a dense graded aggregate similar to that used in Arizona and California, hereinafter called HMA-DG. Five percent PG 70-10 asphalt was added to the dense graded aggregate mix and compacted using the rolling wheel compactor to various air void levels. The second mix consisted of an eight percent AR-HMA gap-graded mix (hereinafter called ARHMA-GG) similar to those used in Arizona and California. The AR binder consisted of 80% PG 64-16 (Penetration 35/50) base asphalt and 20% ground tire rubber. These HMA-DG and AR-HMA-GG mixes represent the basis of the proposed mechanistic reflective cracking overlay design method.

Cores and beams were sawed from the rolling wheel compacted slabs. Each six-inch (150-mm) diameter by two-inch (50-mm) thick core was tested with a "reflective crack" testing device (RCD) specifically designed and built for use in this research project. This device is designed with a preset crack, which the circular core is placed directly above. The core is clamped in such a way that either (or both) a horizontal or vertical movement can be imposed on the core, above the crack. Sensors on the core record stresses and strains of the core immediately above the crack. In addition, beams of HMA-DG and AR-HMA-GG were tested with the four-point bending beam fatigue test developed during the Strategic Highway Research Program (SHRP). Results of these tests indicated that beam fatigue test measurements could be used in place of the RCD to derive the necessary input parameters to the FEM model. With this knowledge, it was now possible to determine which parameters best fit the FEM reflective cracking statistical simulation as a function of heavy truck traffic. The model predicts how many heavy wheel loads and their attendant stresses and strains are needed to initiate and propagate a reflective crack.

To convert this mathematical statistical model into a practical pavement design method for reflective cracking, it was necessary to review considerable actual field cracking data and material layer properties. From these data, the estimated traffic to cause reflective cracking was calculated from the layer thicknesses and layer moduli in a variety of pavements. These calculated numbers were compared to both the actual (observed) number of equivalent axle loads and the (observed) percent cracking. A very novel relationship was derived, which indicates that as long as the ratio between the estimated and actual traffic to cause reflective cracking stays below one, no cracking will occur. For ratios above one, different levels of percent cracking are calculated and observed. Aging and temperature adjustment factors were also a novel adjunct to this new approach.

The final product of this research is a spreadsheet where the pavement design engineer inputs the expected design level of cracking, the thicknesses of the layers, and their elastic moduli. The moduli may be backcalculated or determined in any reasonable manner, as long as they represent the *in situ* conditions in the field. The resultant curves, one for the PG 70-10 HMA-DG and one for the AR-HMA-GG mix, estimate the thickness of an overlay for the specified level of reflective cracking, over a wide range of truck traffic loadings. To-date, the proposed method mainly applies to these two mix types, for climatic conditions similar to those encountered in the (mainly) desert southwest.

With additional research, other overlay design curves can be developed for other mixes, other climates, and other field-observed historical reflective cracking levels. This research describes the development of a mechanistic reflective cracking model based upon a FEM approach that can also be used for other materials and climates.

## CRACK MODELLING

This section describes a FEM of modeling the movement (and, the stresses and strains) through an overlay in the pavement immediately above a crack.

An effort was made to make this simulation as close as practicably possible to field observations. From this simulation, a statistically generalized (albeit simplified) mathematical expression has been developed for the specific materials and layer thicknesses involved. The reason for offering a simplified statistical approach is that FEM analyses are very difficult and time consuming, thus they are probably outside the scope of routine use by the typical pavement design engineer.

A statistical approach appears reasonable for the limited data and special boundary conditions implied from actual field measurements. Thus, to some degree, the proposed mechanistic design method for reflective cracking does have an empirical component. This is not uncommon, since the stress/strain condition within a cracked pavement is very difficult to measure and model. Statistical methods can only provide exact answers in the simplest of cases. To extend their use to more realistic calculations, it is usually necessary to provide some empirical information to substantiate the theoretical model. As such, the use of statistical models that can be incorporated into easy-to-use spreadsheets such as EXCEL renders a complex, detailed and mechanistic pavement rehabilitation design approach available to all.

### Measured vs. Predicted Crack Activity before Overlay

The first effort to verify and calibrate the purely FEM mechanical analysis of a cracked pavement before overlay developed was accomplished by comparing measured versus calculated vertical crack movements.

This FEM model was used to compute the vertical crack activity, before overlay, that was fitted in the statistical model presented in Equation 1.

$$Act = a.\log(Tbet) + b.\log(Tgra) + c.\log(Mbet) + d.\log(Mgra) + e.\log(Msub) + f \quad (1)$$

Where:

Act = vertical crack activity before overlay ( $10^{-6}$  m)

Tbet = bituminous cracked layer thickness (m)

Tgra = granular layer thickness (m)

Mbet = bituminous cracked layer modulus (MPa)

Mgra = granular layer modulus (MPa)

Msub = subgrade modulus (MPa)

Constants: a=11.773; b=-21.388; c=-52.893; d=-94.619; e=16.418 and f=1181.660 ( $R^2=0.92$ )

Layer moduli from backcalculation and the layer thicknesses obtained from seven cracked test sections in Arizona and California were used in the statistical model derived from a purely FEM mechanical analysis, to verify the calibration of the developed mechanistic model. Figure 1 shows these values, where it can be concluded that the crack activity before overlay can in fact be predicted using the suggested FEM and statistical models.

### Measured vs. Predicted Crack Activity after Overlay (ADOT) and State of Stress and Strain

Figure 2 presents the 3D mesh used for the determination of the crack activity after overlay, and the reflective cracking state of stress and strain in the zone above a crack. In this figure, a longitudinal crack is represented for a pavement with 4 layers, i.e., an overlay layer placed on a bituminous cracked layer, a granular layer, and the subgrade layer.

The influence of pavement characteristics on state of stress and strain was made defining a deviator strain such as the Von Mises stress. This strain, called the “Von Mises strain” was calculated as expressed in Equation 2.

$$\mathbf{e}_{VM} = \sqrt{\frac{1}{2}((\mathbf{e}_1 - \mathbf{e}_2)^2 + (\mathbf{e}_1 - \mathbf{e}_3)^2 + (\mathbf{e}_2 - \mathbf{e}_3)^2)} \quad (2)$$

Where:

$\mathbf{e}_{VM}$  = "Von Mises" strain

$\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  = Principal strains

For beam fatigue test conditions subjected to four-point bending,  $\mathbf{e}_{VM}$  can also be written as:

$$\mathbf{e}_{VM} = \mathbf{e}_1(1 + \mathbf{u}) \quad (3)$$

Where:

$\mathbf{u}$  = Poisson ratio

Subsequently, a statistical model was developed for  $\mathbf{e}_{VM}$ , expressed as:

$$\mathbf{e}_{VM} (1 \times 10^{-6}) = a * [\text{Overlay thickness (m)}]^b \quad (4)$$

$$a = \prod_{i=1}^6 [a_{1i} * \ln(X_i) + a_{2i}] \quad (5)$$

$$b = \prod_{i=1}^6 [b_{1i} * \ln(X_i) + b_{2i}] \quad (6)$$

Where:

$a_{ij}$  and  $b_{ij}$  are coefficients given in Table 1.

## LABORATORY TESTS

The crushed aggregate used was derived from good quality Portuguese granite. For the conventional HMA mixes, it was graded to meet a dense gradation similar to that used in Arizona and California for neat asphalt mixes. Similarly, the same aggregate was gap-graded in a manner similar to that used in Arizona and California for the (wet process) asphalt rubber mixes (4).

The binders used were a PG 70-10 and a PG 64-16 (PEN 35/50) for the neat asphalt mixes. For the AR mixes, the PG 64-16 asphalt cement was interacted with 20% crumb rubber from California for one binder type, generally referred to as the Arizona "Type A" AR Binder. For the California AR binder, AR-4000 asphalt cement was mixed with California crumb rubber, natural rubber, and extender oil in a manner consistent with routine California work (generally called the "Type B" AR binder).

The binder content for the neat asphalt HMA-DG mix was 5% and for the AR gap-graded mix (AR-HMA-GG) it was 8%, consistent with typical Arizona and California mix types and designs.

Compaction was carried out with a steel roller in a heating mould, in order to maintain compaction temperature (AASHTO PP3-94: Standard Practice for Preparing HMA Specimens by Means of the Rolling Wheel Compactor). The compacted slabs were sawed and cored with the appropriate dimension for each type of test. For determining flexural fatigue life, beam specimens 380 mm long x 50 mm thick x 63 mm wide were used.

Flexural fatigue tests were conducted according to the AASHTO TP 8-94 (Standard Test Method for Determining the Fatigue Life of Compacted HMA Subjected to Repeated Flexural Bending). All tests were carried out at 20°C and at 10 Hz frequency rate of loading. A summary of the test results is shown in Figure 3. It can be observed that the flexural fatigue life of the AR-HMA-GG mixes under strain control mode is some 10 to 40 times greater than that of HMA-GG mixes.

## FIELD AND PERFORMANCE DATA TO CALIBRATE THE REFLECTIVE CRACKING MODEL

An empirical-mechanistic model, such as the one developed in this research effort, requires that predictions of performance are calibrated and adjusted against real field performance. This is a complex and tedious process, and it must be based on reliable and extensive field data. In this project, we were provided with excellent data collected over the years by ADOT.

### Definition of Cracking Levels

The percent cracking refers to an ADOT method of expressing the amount and area of cracking developed in the early 1970's (1). Percent cracking was developed in English units since it referred to the amount of cracking within a standard area as defined at the AASHTO Road Test in the early 1960's. The standard area is 93.6 square meters (1,000 square feet, AASHTO definition) which represents the lane width of 3.7 meters (12 feet) by 25.3 meters (83 feet) of length. By the Arizona method one percent cracking is equal to 6.1 lineal meters (20 lineal feet) of cracking within the standard area. Likewise, 10 percent is 61 lineal meters (200 lineal feet) per the standard area and 100 percent is 610 lineal meters (2000 lineal feet) of cracking per standard area. ADOT has used this method of recording the percent of cracking as inventoried at each milepost since about 1972. Values have ranged from as low as no cracks (0 percent) to as high as 95 percent. Percent cracking values from ADOT's Pavement Management System annual crack inventory were used as input into the model development.

### ADOT Data from Selected Test Sections

ADOT furnished all the data for this research study from various test sections throughout the state which included cracking information, layer moduli, layer thicknesses, overlay material properties, aggregate gradation, binder type and binder content. Pavement cracking information (both before and after overlay), average ESALs per year, total predicted ESALs, local temperature information, and recommended PG-grade for the region was also included. The available data is too extensive to be included in this paper.

## ADJUSTMENT FACTORS FOR REFLECTIVE CRACKING METHOD

The calibration of the model was made based on an iterative process that is too extensive to be covered in this paper. In general this process involved the development of an aging adjustment factor (AAF), a temperature adjustment factor and a field adjustment factor which are explained in more detail..

### The Aging Adjustment Factor (AAF)

This factor was introduced to capture the effect of aging in the overlay as a function of the maximum air temperature. It has been documented that aging plays a very important role in the performance of an asphalt-bound overlay. Furthermore, over time it will stiffen the overlay (raise its modulus). The magnitude of this effect was also noticed by Raad (6), for both the HMA-DG and AR-HMA-GG mixes. In Raad's report it was found that, for the relatively mild climate of the Los Angeles area, the AAF was 1.07 for AR-HMA-GG mixes and 1.30 for HMA-DG mixes (over a life span of approximately 10 years).

Figure 4 shows the data from which the AAF was determined, for AR-HMA-GG and HMA-DG mixes respectively.

Based on the available data, the Aging Adjustment Factor may be expressed as:

$$AAF = 0.0449 * T(\max \text{ air}) - 0.2435 \quad (\text{for Conventional PG70 - 10 binders}) \quad (7)$$

$$AAF = 0.0088 * T(\max \text{ air}) + 0.7296 \quad (\text{for Asphalt Rubber binders}) \quad (8)$$

Further improvements in these relationships are still needed.

### The Temperature Adjustment Factor (TAF)

It is well documented that most reflective cracking occurs when lower temperatures occur. The actual phenomenon is very complex. Several mechanisms play a role concurrently:

- Load associated strains occur above a crack each time a vehicle passes over (or near) the crack.
- As the temperature decreases during its daily cycle, it can actually reach a 20° to 25°C fall during each day. These rapid cooling rates cause overall states of tension in the overlay and are particularly important above

the crack. They are also a function of the creep compliance of the material. In fact, the low temperature effects alone can cause greater tensile stresses than those caused by actual passing wheel loads.

- The combination of the two most important effects ? passing wheel loads on a daily basis above (or near) the crack and the material (overlay) above the crack being under tension due to rapidly decreasing or low temperatures ? have been identified as the most likely causes of high states of stress and strain above the crack.
- The binders PG grade (and where a particular PG grade is used) does play an important role in the reflective cracking phenomena. For instance, it is believed that if a region calls for a PG grade of XX-10 but in practice a PG grade of XX-32 is used, an overlay placed using the latter of these binders is less likely to crack than if the XX-10 binder is used. Thus to a certain extent, reflective fatigue cracking is associated with thermal fatigue cracking.

It is possible to model all of these effects, but such efforts were beyond the scope of the research project. Nevertheless, every attempt was made to develop a methodology to consider as many effects as possible through the use of adjustment factors, as outlined above. For example, many overlapping low temperature effects are most likely captured globally in the Temperature Adjustment Model (TAF). The adjustments do magnify the magnitude of the  $e_{VM}$  predicted by the load-associated model to capture these temperature effects. Using data obtained at the ADOT locations selected for this study, it was calibrated for the HMA-DG and AR-HMA-GG, as shown in Figure 5. The Reflective Cracking Temperature (RCT) was arbitrarily set as the mean value between the minimum air temperature and the average mean air monthly temperature.

It is interesting to notice that the negative effects (i.e., the higher the value of the TAF, the more damaging the effect is) of progressively lower temperatures. Furthermore it is also interesting that the Temperature Adjustment Factor is higher for the AC40 (PG70-10) mixes.

Future improvements in this factor with this approach may depend on the relation between the minimum PG temperature of the binder and the location of the pavement section. However, based on the current data, the following formulae are proposed:

$$TAF = -0.092 * 2.558 \text{ (for Conventional AC 40 binders)} \quad (9)$$

$$TAF = -0.072 * RCT + 1.745 \text{ (for Asphalt Rubber binders)} \quad (10)$$

Where:

$$RCT = T (\text{min air}) + 0.5 * [T (\text{average air mean monthly}) - T (\text{min air})] \quad (11)$$

### Field Adjustment Factor (FAF)

The Field Adjustment Factors (FAF) was introduced to relate the predictions obtained using the empirical-mechanistic reflective cracking model with actual (reported and observed) field performance. This value was initially determined following the same steps identified above.

Cracking is only expected when FAF is greater than 1. For FAF values less than 1, cracking should not have occurred. If the model predicts otherwise, the model is incorrect. It should be noted that the reported percent cracking may go up and down from year to year due to maintenance crack sealing activities which introduces greater scatter in the reported data.

Figure 6 shows the relationship between percent cracking and the field adjustment factors obtained for the asphalt rubber sections with gap-graded aggregate gradations (AR-HMA-GG).

It can be observed that for the vast majority of points where the FAF was below 1, no cracks were reported. When cracks were reported by ADOT, the FAF was higher than 1. This follows a clear trend that can be expressed by Equation 12, where  $PC$  is the percent cracking.

$$FAF = e^{0.2303*PC} \quad (12)$$

The relationship obtained for the conventional mixes between percent cracking and FAF is presented in Figure 7. It can also be observed in this figure, that when the FAF is less than 1 no cracking is reported. However when cracking occurs, the FAF is always greater than 1. The same relationship between cracking and FAF encountered for the asphalt rubber mixes also appears to be appropriate for the conventional mixes.

The determination of the three factors AAF, TAF and FAF was done simultaneously, iteratively and interactively and the results appeared reasonable. Combining these three factors in a logical fashion involved engineering judgment considerations.

Once all of the values were calculated, another approach was followed to investigate the quality of fit of the proposed reflective cracking model. In this case, the slopes and intercepts for the AAF and TAF were varied in such a manner as to minimize the  $R^2$  fit of the model predictions, for all pavements having crack patterns between 1% and 10%. Figure 8 shows the relationship between the predicted and observed number of ESALs to the reported percent cracking. It can be observed that the vast majority of the predictions were very close to the actual observations. If the points from one of site (the one with the largest outliers) are removed from the database,  $R^2$  reaches 0.80.

From the above considerations and analyses conducted, it can now be seen that the relationships between the Maximum Air Temperatures and the Aging Adjustment Factor, and also between the Reflection Cracking Temperature and the Temperature Adjustment Factor have been adjusted and plotted, as shown in Figures 4 and 5 respectively. This indeed confirms that the trends reported do exist, and that the aging and low temperature effects (captured by the ATF and the TAF respectively) do in fact play an important role in the reflective cracking phenomenon.

In essence, the reflective cracking phenomenon depends on load associated shear loading near the edge of the crack and on two major environmental factors: Aging of the overlay and the tensions over the crack caused when the temperatures drop rapidly during colder winter days.

## **PROPOSED REFLECTIVE CRACKING DESIGN METHOD**

A methodology is hereby proposed for the design of overlays on existing, cracked flexible pavements to minimize the risk of premature reflective cracking. The method consists of the seven steps presented below. Currently the model has been calibrated for only two materials: Dense graded mixes with PG70-10 binders (HMA-DG) or gap graded mixes with asphalt rubber modified binders (AR-HMA-GG). The asphalt rubber binder must be produced using the “wet” process and it must contain approx. 19-20% crumb rubber.

### **1. Determination of the Moduli and Thicknesses of the Pavement Section Layers**

This can be accomplished using FWD backcalculation methods or other forms of estimating cracked pavement section moduli. Care must be taken in the selection of modulus representative of the most damaged sections. As such, the 90th or 95th percentile of deflections (or backcalculated moduli) should be selected. Coring for determination of layer thicknesses should be carried out as close to the locations where the 90-95th percentile FWD test points were selected.

### **2. Determination of Representative Air Temperatures**

The maximum and minimum air temperature determined with the desired reliability should be obtained for the location where the pavement is to be overlaid. Furthermore, it is necessary to compute the mean average monthly air temperature as proposed by the Shell design method.

### **3. Selection of Design Cracking Percentage**

The percent cracking should be keeping with that previously discussed. The value selected should be in keeping with an agencies overlay policy. ADOT generally has observed less than five percent cracking over a period of ten years when an asphalt rubber surface mix is used.

### **4. Determination of Adjustment Factors**

Several adjustment factors must be calculated for the location where the overlay will be placed and for the desired cracking level at the end of the overlay's design life.

The Aging Adjustment Factor (AAF) is determined from the Equation 7 for conventional PG70-10 mixes, called “HMA-DG” or Equation 8 for asphalt rubber mixed with the gap-graded wet process @ 19% -20% binder content, called “AR-HMA-GG”. These are applicable for  $T_{MAX}$  temperatures between 35°C and 50°C.

The Temperature Adjustment Factor (TAF) is determined from Equation 9 for HMA-DG mixes or Equation 10 AR-HMA-GG mixes (wet process only). This method is applicable for Reflective Cracking Temperatures (RCTs) between -10°C and +10°C.

The Field Adjustment Factor (FAF) is computed from the following equation:

$$FAF = e^{0.2303*PC} \quad (12)$$

Where PC is the cracking percentage between 2% and 10%.

## 5. Selection of Overlay Material Modulus

Two types of materials for the overlay may be selected: Conventional HMA-DG or AR-HMA-GG, with the rubberized binder prepared through the wet process @ ~19-20% crumb rubber in the binder.

For these materials, the modulus and flexural fatigue life are obtained through flexural fatigue tests, as presented in Figure 3. Other moduli can be computed and introduced in the method based on actual tests performed on other types of materials. However, it must be assumed that the Temperature Adjustment Factor or the Aging Adjustment Factor will either be identical to that of the HMA-DG material or the AR-HMA-GG material.

## 6. Determination of the Design Value, $e_{VM}$

The modulus of the overlay must be multiplied by the computed Aging Adjustment Factor. With the modulus and thickness for each layer, the  $e_{VM}$  value for the overlay is determined using Equations 4, 5 and 6.

The  $e_{VM}$  value obtained through these equations must be multiplied by 86/132 (to convert  $e_{VM}$  from 130-kN to 80-kN axle loads), and also by the Temperature Adjustment Factor (TAF). The value obtained in this process is thus the design  $e_{VM}$ .

## 7. Determination of Design ESALs

Using the appropriate flexural fatigue equation as introduced in Figure 3, determine the number of ESALs that can be sustained by the overlay prior to the onset of reflective cracking, i.e.:

$$ESALs = 4.1245E19 * [e_{VM} (1x10^{-6})]^{-4.9761} \quad (13)$$

for asphalt rubber binders derived through the wet process, with a 19% -20% binder content and a gap-graded mix, or:

$$ESALs = 6.4467E19 * [e_{VM} (1x10^{-6})]^{-5.93} \quad (14)$$

for conventional PG70-10 binders and a dense graded mixes.

Multiply the design ESAL by the FAF computed in Step 4. The resulting number should represent the number of ESALs required for the overlay to reach the selected percentage of cracking.

Other fatigue curves can be determined and used by this method, based on actual flexural fatigue tests performed on the specific asphalt (whether conventional or modified) material type proposed with due consideration to all adjustment factors.

## EXAMPLES OF APPLICATION

Several examples of the applicability of this method are introduced and shown in (Figures 9). An EXCEL spread sheet was created with all the formulas presented in this paper. The input values are entered in column C and the graph regenerates it self for the new pavement and environment conditions selected. From the demanded



traffic the required thickness can be determined for conventional and asphalt rubber mixes. Generally in most cases the asphalt rubber mix will give an overlay about half as thick as the conventional mix for the same design traffic level.

## CONCLUSIONS

This research project successfully developed a mechanistic empirical method to design hot mix asphalt overlays to resist reflective cracking. The specific design method is good for dense grade asphalt hot mixes and gap grade asphalt rubber hot mixes used in Arizona. It probably can also be applied to Southern California and Western Texas.

The generalized approach is applicable to any type of asphalt hot mix provided the fatigue properties of the mix are determined, the in place FWD deflections and the history of cracking is available.

The following are the main technical findings of the study:

- The Falling Weight Deflectometer can be used in place of the Crack Activity Meter to represent the degree of vertical crack movement.
- The 3D linear elastic model based on the SAP2000 software was used to model the development of average shear stresses above the crack zone as well as to model the crack activity before and after the overlay.
- Field validation showed excellent correlation between the values of the crack activity measured and predicted thus offering a high level of reliability to the value of the average shear stress predicted by the model.
- Statistical models were developed in conjunction with an Excel spreadsheet based on the results of more than 15000 finite element computations. The statistical model and spreadsheet eliminates the need for running a tedious and complex 3D FEM computer program.
- The Finite Element Method (FEM) was successfully used to develop a statistical mathematical model to derive the amount of thickness needed to control reflective cracking.
- The statistical model indicated that the crack width did not appear to relate to the amount of overlay to control a reflective crack.
- The statistical model confirms that asphalt rubber mixes have a much higher capability to resist reflective fatigue cracking. Also, the reduction in overlay thickness by a factor as large as one half is substantially validated by this study.

There is still research that needs to be completed which includes further improvement of the adjustment factors and the effect of cold temperature shrinkage.

## ACKNOWLEDGEMENTS

The authors greatly acknowledge the cooperation provided by the Rubber Pavements Association, by the Arizona Department of Transportation, by the California Department of Transportation, and by Junta Autónoma de Estradas (the Portuguese Road Directorate). The authors also appreciate the contributions made by Dr. Shakir Shatnawi of Caltrans in the development of this methodology and Ali Zareh of ADOT.

## REFERENCES

1. Way, G.B., "Prevention of Reflection Cracking Minnetonka-East", ADOT, Report Number 1979GWI, August 1979.
2. Scofield, L.A., "History, Development, and Performance of Asphalt Rubber at ADOT", Report Number AZ-SP-8902, December 1989.
3. Way, G.B., "Flagstaff I-40 Overlay Project", 4R Conference & Road Show, Cincinnati, Ohio, December 1989.
4. Way, G.B., "Flagstaff I-40 Asphalt Rubber Overlay Project Nine Years of Success", Transportation Research Board, 78<sup>th</sup> Annual Meeting, Washington D.C., January, 2000.
5. Lukanen, E.O., Stubstad, R.N. and Briggs, R.C. "Temperature Predictions and Adjustment Factors for Asphalt Pavement", Federal Highway Administration, Publication No. FHWA-RD-98-085, Washington, DC, June 2000.

6. Radd, L., Saboundjian, S. and Minassian, G. “ Field Aging Effects on the Fatigue of Asphalt Concrete and Asphalt-Rubber Concrete”, Transportation research Board, Annual meeting CR Rom, Paper No. 01-3097, Washington, DC, January 2001.

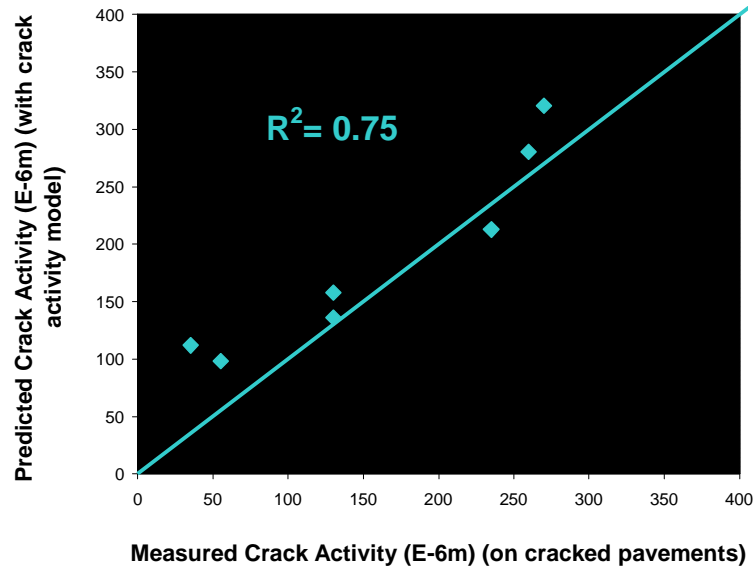
## LIST OF TABLES

**Table 1** – Statistical coefficients for the  $e_{VM}$  model (Equation 4) [ $R^2=0.98$ ]

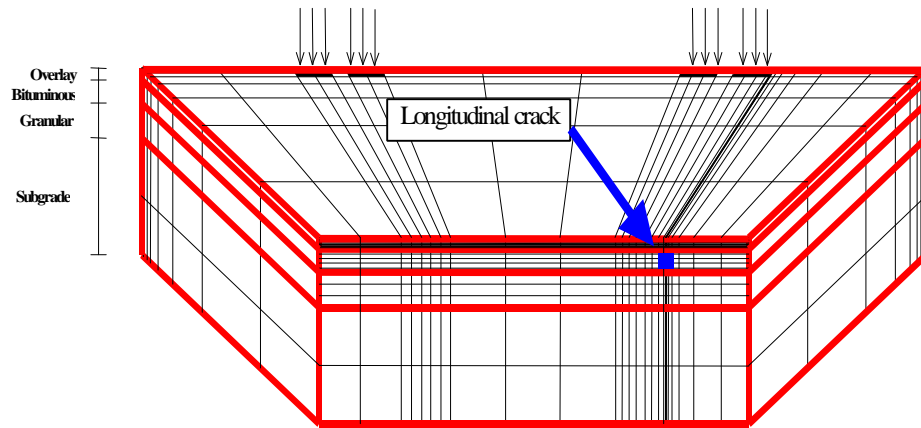
| $I$ | $X_I$                  | $a_{1i}$   | $a_{2i}$   | $b_{1i}$   | $b_{2i}$   |
|-----|------------------------|------------|------------|------------|------------|
| 1   | Cracked thickness (m)  | -1.038E-04 | -1.446E-01 | 7.169E-03  | 1.314E-01  |
| 2   | Granular thickness (m) | 2.777E-01  | -4.022E+00 | 9.773E-05  | -6.368E-01 |
| 3   | Overlay modulus (MPa)  | -1.173E+00 | 1.212E+01  | -4.946E-01 | 7.069E+00  |
| 4   | Cracked modulus (MPa)  | 1.281E+00  | 5.070E-01  | 3.923E-02  | 2.641E+00  |
| 5   | Granular modulus (MPa) | -5.160E-01 | 6.964E+00  | 3.265E-02  | -1.287E+00 |
| 6   | Subgrade modulus (MPa) | -1.775E-01 | 2.385E+00  | 1.875E-03  | -8.167E-01 |

## LIST OF FIGURES

| Figure | Description   |
|--------|---|
| 1      | Comparison Between Crack Activity Measured <i>In Situ</i> On the 7 Cracked Test Sections in Arizona and California and the Predicted Values Obtained by the Model |
| 2      | 3D Representation of Finite Element Mesh  |
| 3      | Flexural Fatigue Life as a Function of $e_{VM}$   |
| 4      | Determination of the Aging Adjustment Factor for Asphalt Rubber and Conventional AC40 binders.  |
| 5      | Development of Low Temperature Adjustment Factors for Asphalt Rubber and PG70-10 Neat Asphalt Binders as a Function of Reflective Cracking Design Temperature     |
| 6      | Relationship Between FAF and Percent Cracking for Asphalt Rubber Gap-Graded Sections.   |
| 7      | Relationship Between the Field Adjustment Factor and Percentage of Cracks for Conventional Mixes (PG70-10).   |
| 8      | Comparison Between Modeled and Predicted Number of ESALs to the Reported Crack Level for all Pavement Sections in the Database (Conventional and Asphalt Rubber)  |
| 9      | Applicability of reflective cracking model implement in an EXCEL sheet  |



**Figure 1** – Comparison Between Crack Activity Measured *In Situ* On the 7 Cracked Test Sections in Arizona and California and the Predicted Values Obtained by the Model. [Note: values shown in microns; 100 Microns = 0.100 mm or 0.0039 inches]



**Figure 2** – 3D Representation of Finite Element Mesh

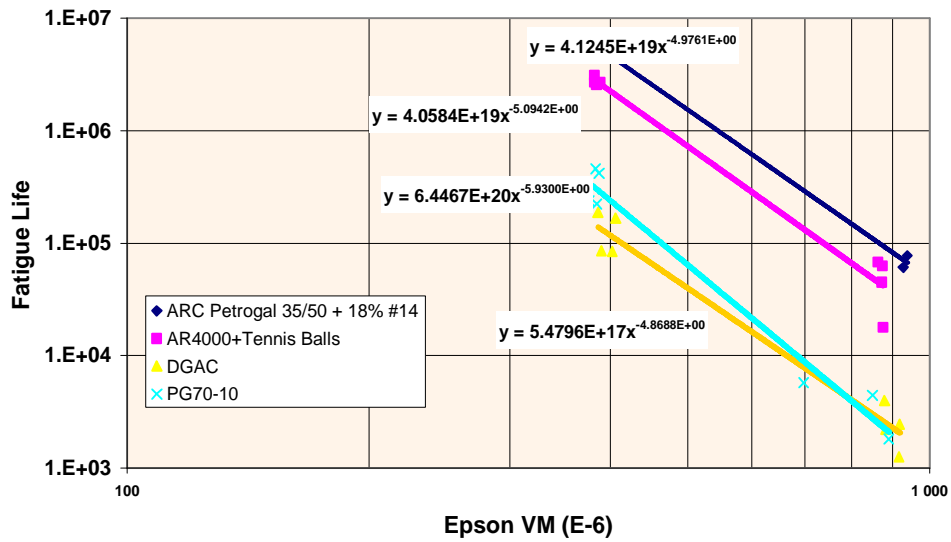


Figure 3 – Flexural Fatigue Life as a Function of  $e_{VM}$

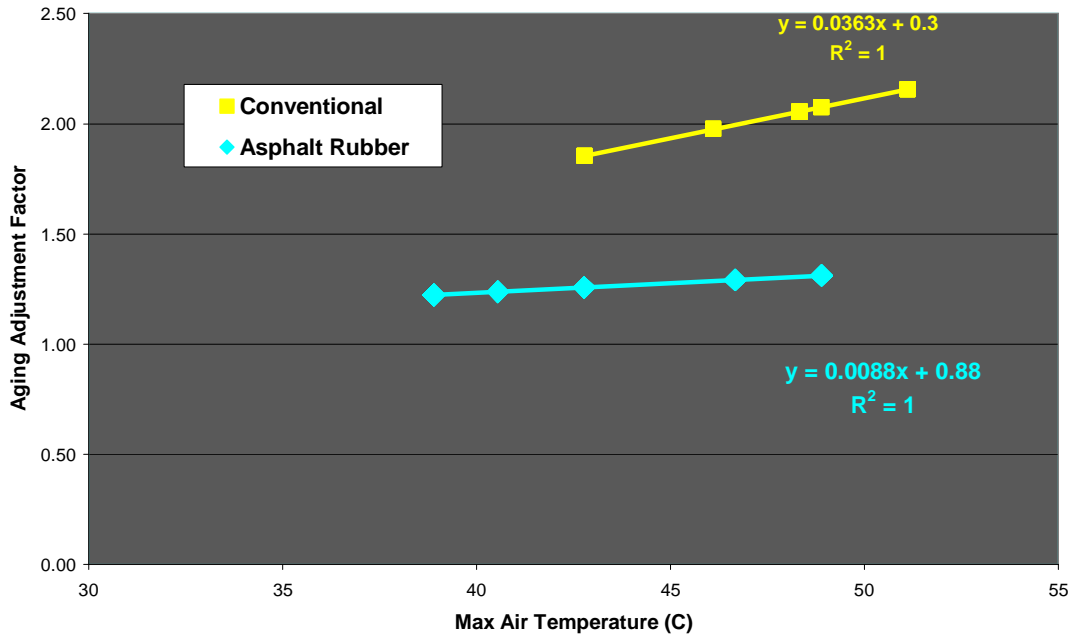


Figure 4 – Determination of the Aging Adjustment Factor for Asphalt Rubber and Conventional AC40 binders.

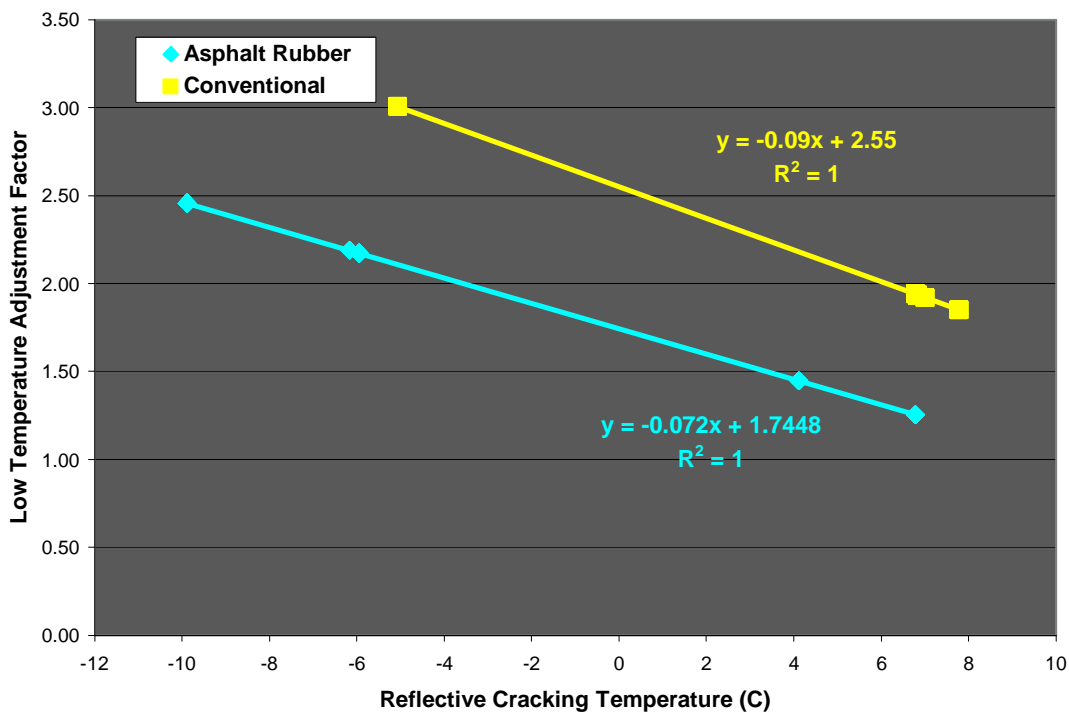


Figure 5 – Development of Low Temperature Adjustment Factors for Asphalt Rubber and PG70-10 Neat Asphalt Binders as a Function of Reflective Cracking Design Temperature.

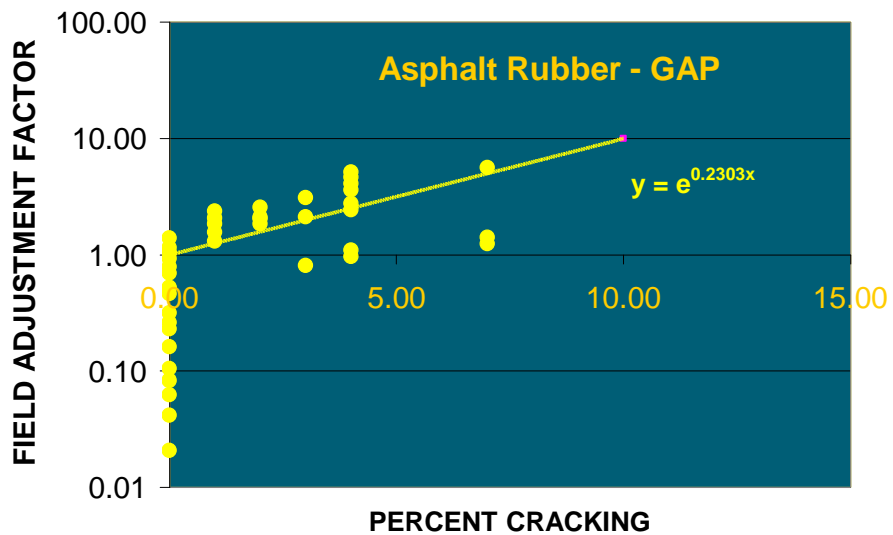
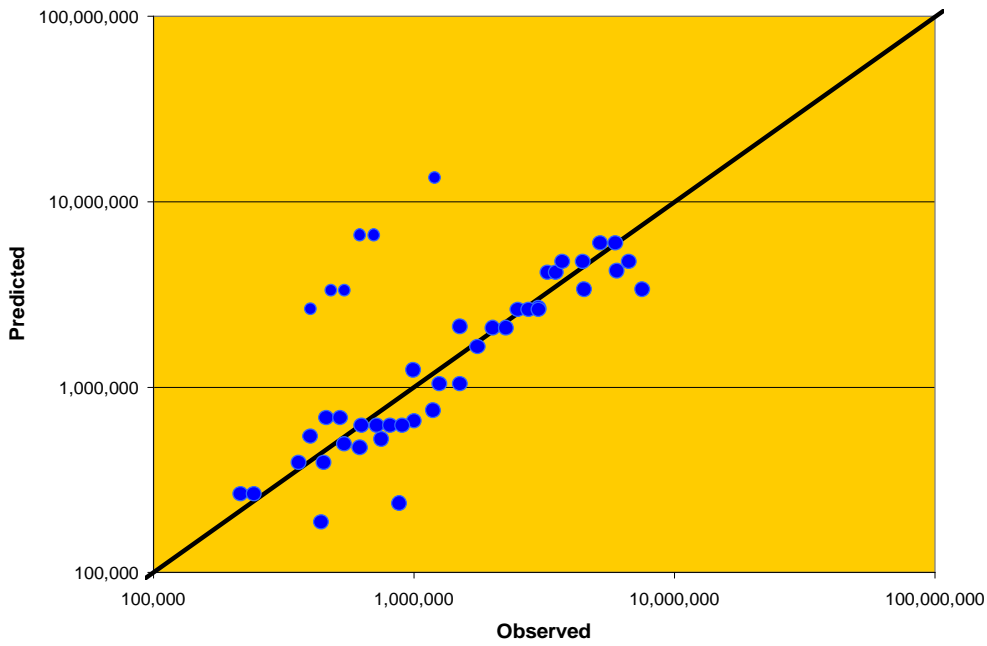
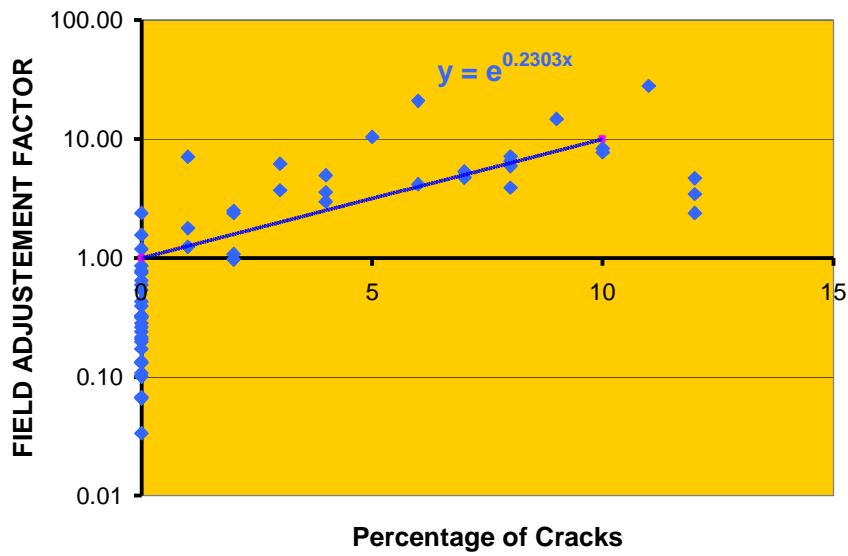


Figure 6 – Relationship Between FAF and Percent Cracking for Asphalt Rubber Gap-Graded Sections.



**Figure 7** – Relationship Between the Field Adjustment Factor and Percentage of Cracks for Conventional Mixes (PG70-10).



**Figure 8** – Comparison Between Modeled and Predicted Number of ESALs to the Reported Crack Level for all Pavement Sections in the Database (Conventional and Asphalt Rubber).

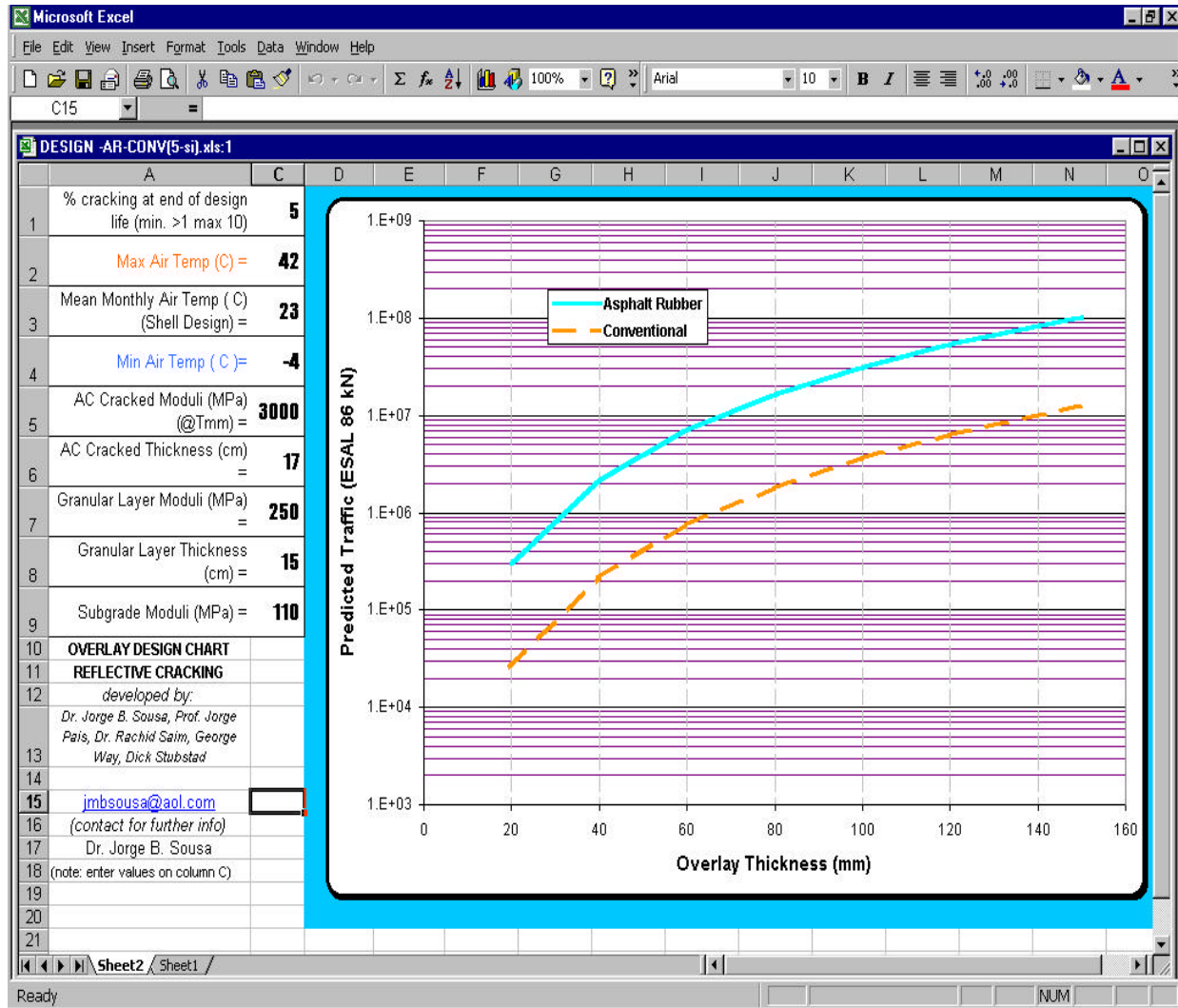


Figure 9 – Applicability of reflective cracking model implement in an EXCEL sheet